

Image  
Applicant:

Robert Sabia

Serial No: 10/035564

Filed: 10/26/2001

For: DIRECT BONDING OF ARTICLES  
CONTAINING SILICON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Examiner: ROSSI, Jessica

Group Art Unit: 1733

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 - 1.98

Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

The undersigned attorney is granted limited recognition by the Office of Discipline and Enrollment of the USPTO to practice before the USPTO in capacity as an employee of Corning Incorporated. A copy of the document granting such limited recognition either has been previously submitted or is submitted herewith for the record.

Please charge the fee under 37 C.F.R. § 1.17(p) to Corning Incorporated Deposit Account No. 03-3325.

Please direct any questions or comments to the undersigned at (607) 248-1253.

Respectfully submitted,

Siwen Chen  
Corning Incorporated  
SP-TI-03-1  
Corning, NY 14831  
607-248-1253  
Date: January 28, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on	
1-29-04	
Date of Deposit	
Signature: Colleen E. Doherty	

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FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

FEB 02 2004

LIST OF PATENTS AND  
PUBLICATIONS

SP01-301

10/035564

FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

APPLICANT: Robert Sabia.

FILING DATE 10/26/2001

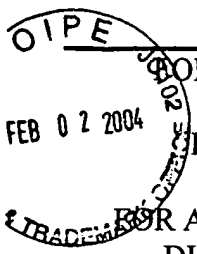
GROUP: 1733

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
	AA	4,186,999	2/5/80	Harwood et al	350	96.21	
	AB	4,530,452	7/23/85	Balyasny et al	225	96	
	AC	4,626,068	12/2/86	Caldwell	350	96.34	
	AD	5,346,583	9/13/94	Basavanhally	156	629	
	AE	5,452,122	9/19/95	Tsuneda et al	359	281	
	AF	5,579,421	11/26/96	Duvall et al	385	14	
	AG	5,631,986	5/20/97	Frey et al	385	78	
	AH	5,846,638	12/8/98	Meissner	428	220	
	AI	5,852,622	12/22/98	Meissner et al	372	39	
	AJ	5,989,372	11/23/99	Momoda et al	156	89.11	
	AK	6,030,883	2/29/00	Nishimoto et al	438	455	
	AL	6,048,103	4/11/00	Furukata et al	385	73	
	AM	6,249,619	6/19/01	Bergmann et al	385	11	
	AN	6,275,336	8/14/01	Yoshikawa et al	359	484	
	AO	6,359,733	3/19/02	Iwatsuka et al	359	500	
	AP	2002/0108556	8/15/02	Ebbers	117	2	
	AQ	2003/0206345	11/6/03	Sabia et al	359	484	
	AR	2003/0206347	11/6/03	Sabia et al	359	484	
	AS	2003/0081930	5/1/03	Filhaber et al	385	147	
	AT	4,960,331	10/2/90	Goldman et al	356	350	
	AU	5,319,483	6/7/94	Krasinski et al	359	113	
	AV	5,441,803	8/15/95	Meissner	428	220	
	AW	5,689,519	11/18/97	Fermann et al	372	18	
	AX	6,120,917	9/19/00	Eda	428	692	
	AY	6,548,176	4/15/03	Gwo	428	420	
	AZ	6,621,630	9/16/03	Iwatsuka	359	484	

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FORM PTO-1449 (MODIFIED)  
LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO. SP01-301	SERIAL NO. 10/035564
APPLICANT: Robert Sabia.	
FILING DATE 10/26/2001	GROUP: 1733

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
	AA	WO01/98225	12/27/01	PCT	C03C	27/06		
	AB	WO00/17698	3/30/00	PCT	G02F	1/09		
	AC	DE19731075	1/21/99	Germany	C03C	29/00		
	AD	DE2130905	1/11/73	Germany	H01j	19/56		
	AE	08-146351	6/7/96	Japan (English Abstract)	G02B	27/28		
	AF	2002321947	4/25/01	Japan (English Abstract)	C03C	27/00		
	AG	2000-56265	2/25/00	Japan (English Abstract)	G02B	27/28		
	AH	03-115178	5/16/91	Japan (English Abstract)	C04B	37/00		

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**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Siwen Chen is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Corning Incorporated to prepare and prosecute patent applications in which (i) Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; (ii) a wholly-owned subsidiary of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; or (iii) a joint venture of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Siwen Chen ceases to lawfully reside in the United States, (ii) Siwen Chen's employment with Corning Incorporated ceases or is terminated, or (iii) Siwen Chen ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: February 20, 2004**

Harry I. Moatz  
Director of Enrollment and Discipline

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